

# OPUS-DOSP: A Distance- and Orientation-Dependent All-Atom Potential Derived from Side-Chain Packing

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# Abstract

We report a new distance- and orientation-dependent, all-atom statistical potential derived from side-chain packing, named OPUS-DOSP, for protein structure modeling. The framework of OPUS-DOSP is based on OPUS-PSP, previously developed by us [JMB (2008), 376, 288–301], with refinement and new features. In particular, distance or orientation contribution is considered depending on the range of contact distance. A new auxiliary function in energy function is also introduced, in addition to the traditional Boltzmann term, in order to adjust the contributions of extreme cases. OPUS-DOSP was tested on 11 decoy sets commonly used for statistical potential benchmarking. Among 278 native structures, 239 and 249 native structures were recognized by OPUS-DOSP without and with the auxiliary function, respectively. The results show that OPUS-DOSP has an increased decoy recognition capability comparing with those of other relevant potentials to date.

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# **Introduction**

In protein structure prediction, one of the most challenging tasks is the design of potential functions that can guide the search and identification of possible solutions. Theoretically, we could calculate the energy function via quantum mechanics [\[1\]](#page-6-0). However, this method is only possible for small molecules and fails on large systems such as proteins in solvent. Therefore, we need to approximate the energy function. Currently, there are two classes of potentials. One is physics-based potentials including all-atom molecular mechanics force-fields [\[2](#page-6-0)–6] and coarsegrained potentials such as MARTINI [\[7\]](#page-6-0), UNRES [\[8,9\],](#page-6-0) and OPEP [\[10\].](#page-6-0) The other class is knowledgebased potentials [\[11](#page-6-0)–20] derived from statistical analysis of known protein structures, which often outperform physics-based potentials [11,15–[18,20,21\]](#page-6-0). In general, knowledge-based potentials can also be constructed at coarse-grained residue level  $[18,22-34]$  $[18,22-34]$  or at all-atom level  $[35-44]$  $[35-44]$ . The use of physics-based and knowledge-based models in protein folding is most recently discussed in a comprehensive review [\[45\]](#page-7-0).

OPUS-Cα potential [\[27\]](#page-7-0) is an example of knowledgebased coarse-grained models, which only uses the positions of Cα atom as input and significantly reduces the computing cost. Two important issues are involved in knowledge-based potentials, distance dependence, and orientation dependence. For example, DFIRE is a distance-dependent all-atom potential that is established on a new reference state called the distancescaled, finite ideal-gas reference (DFIRE) state [\[41\].](#page-7-0) By introducing polar atom interactions (dipoles), DFIRE potential was modified to dDFIRE potential [\[44\].](#page-7-0) The RWplus potential incorporates side-chain

The orientation dependence is a very important and challenging problem in the development of statistical potential. Many attempts have been made in the literature [18,26–[29,33,34,39,40,42](#page-6-0)–44]. Also, hydrogen bonding influences orientation patterns [\[46,47\];](#page-7-0) this effect is included implicitly in this work. A milestone is the OPUS-PSP potential, which is an orientation-dependent statistical all-atom potential derived from side-chain packing [\[40\].](#page-7-0) In OPUS-PSP, a protein is described by 19 types of rigid-body block. The relative orientation between each block, extracted from the atomic coordinates, measures the sidechain packing properties. This is important because all-atom potentials typically ignore the heterogeneous chemical bond connectivity [\[48\]](#page-7-0), and residue-based potentials insufficiently describe side-chain packing due to coarse graining. OPUS-PSP bridges the gap between them [\[40,49\]](#page-7-0).

In this work, based on the framework of OPUS-PSP [\[40\]](#page-7-0), we developed a *d*istance- and *o*rientationdependent, all-atom statistical potential derived from side-chain packing, named OPUS-DOSP. The following changes are implemented. First, some of 19 rigid-body blocks were changed. Second, a distance term was introduced into the potential. Furthermore, in the potential function, we added an auxiliary function to the conventional logarithmic Boltzmann term. This is for adjusting the contributions from the extreme cases in which the "observation" and "reference" differ significantly. In testing on 11 commonly used decoy sets, OPUS-DOSP successful identified 239 and 249 out of 278 native structures, without and with the auxiliary function, respectively. Thus, the ability of OPUS-DOSP to distinguish between native and non-native structures has significantly exceeded the performance of all existing potentials. OPUS-DOSP promises to be an invaluable tool for protein modeling and structure prediction.

## Methods

#### Definitions of 19 rigid-body blocks, relative orientation, and relative distance

In OPUS-DOSP, the definition of relative orientation, and geometry of 19 rigid-body blocks are basically identical to OPUS-PSP [\[40\],](#page-7-0) but with some modifications. The details of these modifications are given in Supplemental Materials. Briefly, the relative orientation bins remain almost unchanged, but the local symmetry of bins is simplified. Specifically, we assign

bins that are symmetric about  $\pi$  with the same local symmetry, and this approach significantly reduces the bins we need to consider.

The new distance term we introduced is defined by the distance between the origin points (defined in Supplemental Materials) of the two rigid-body blocks.

#### The orientation term in OPUS-DOSP

The orientation specifying packing patterns in DOSP potential is also identical to OPUS-PSP potential [\[40\]](#page-7-0). As shown in Fig. 1, the relative orientation between block a and b is defined by  $\Omega_{ab}$ , which contains three parts, two relative direction vectors  $\mathbf{r}_{ab}$  and  $\mathbf{r}_{ba}$  between origin points of the two blocks, and an inter-rotation angle  $\psi_{ab}$  along the axis.

The Boltzmann term of orientation in DOSP is defined by relation:

$$
E_{\text{Boltz\_ori}}(a, b, \Omega_{ab}) = -k_{\text{B}} T \log \frac{p^{\text{obs}}(a, b, \Omega_{ab})}{p^{\text{ref}}(a, b, \Omega_{ab})}.
$$
(1)

The term  $p^{obs}(a, b, \Omega_{ab})$  is the probability of a specific orientation state  $\Omega_{ab}$  for a contact block pair a and b, defined as  $p^{\text{obs}}(a, b, \Omega_{ab}) = N^{\text{obs}}(a, b, \Omega_{ab})/2$  $N_{\text{total}}^{\text{obs}}$ . Here  $N^{\text{obs}}(a, b, \Omega_{ab})$  is the number of observed



Fig. 1. The definition of relative orientation. Assuming block types a and b are in contact, then  $r_{ab}$  and  $r_{ba}$  are the relative direction vectors of block types a and b, and  $\psi_{ab}$  is the inter-rotation angle along the axis connecting the origin point  $O_a$  and  $O_b$  of the two blocks.

orientation state  $\Omega_{ab}$  for that contact pair, and  $N_{total}^{obs}$  $\sum_{a,b}N^{\text{obs}}(a,b,\Omega_{ab})$ . The term  $p^{\text{ref}}(a,b,\Omega_{ab})$  is the probability of a specific orientation state  $\Omega_{ab}$  for a contact block pair a and b in reference state. Similarly, it is defined as  $\rho^{\text{ref}}(a,b,\Omega_{ab})$  =  $\mathsf{N}^{\text{ref}}(a,b,\Omega_{ab})/\mathsf{N}_{\text{total}}^{\text{ref}},$  with  $N^{\text{ref}}(a, b, \Omega_{ab}) = p(\mathbf{r}_{ab})p(\mathbf{r}_{ba})p(\psi_{ab})N^{\text{obs}}(a, b, \Omega_{ab}).$ Here  $p(\mathbf{r}_{ab})$  is the probability of total contact pairs that have relative direction vectors  $r_{ab}$  in all contact block pair a and b. The definition of  $p(\mathbf{r}_{ba})$ ,  $p(\psi_{ab})$  are similar.  $N_{\text{total}}^{\text{ref}} = \sum_{a,b} N^{\text{ref}}(a, b, \Omega_{ab})$ . In the reference state, we consider  $r_{ab}$ ,  $r_{ba}$ ,  $\psi_{ab}$  as independent variables, which is different from the OPUS-PSP potential. The reference state for the orientation is assumed to be a uniform distribution as an approximation. In OPUS-PSP [\[40\],](#page-7-0) we used a self-excluding Monte Carlo simulation to generate a non-uniform distribution, but the performance was not significantly improved.

#### The distance term of OPUS-DOSP

The Boltzmann term of distance in DOSP is defined by relation:

$$
E_{\text{Boltz\_dist}}(a, b, r) = -k_{\text{B}} T \log \frac{p^{\text{obs}}(a, b, r)}{p^{\text{ref}}(a, b, r)}, \quad (2)
$$

 $p^{\text{obs}}(a,b,r)$  is the probability of a specific distance r for a contact block pair a and b, defined as  $p^{\text{obs}}(a, b, r)$ ≡  $N^{\text{obs}}(a, b, r)$ / $N_{\text{total}}^{\text{obs}}$ . Here  $N^{\text{obs}}(a, b, r)$  is the number of observed distance state  $r$  for that contact pair, and  $N_{total}^{obs} = \sum_{a,b} N^{obs}(a,b,r)$ . The term  $p^{ref}(a,b,r)$  is the probability of a specific distance r for a contact block pair  $a$  and  $b$  in reference state defined as  $p^{\text{ref}}(a, b, r) \equiv N^{\text{ref}}(a, b, r) / N_{\text{total}}^{\text{ref}}$ . In this work, two blocks are defined as in contact if at least one pair of atoms is less than 5 Å.  $N^{\text{ref}}(a, b, r)$  is the number of a specific distance r between contact block pair of a and b in reference state. In this work, we choose a uniform distribution:

$$
N^{\text{ref}}(a, b, r) = \frac{\Delta r}{r_{\text{cut}}} p(a, b) N_{\text{total}}^{\text{obs}},\tag{3}
$$

where  $p(a, b)$  follows quasi-chemical approximation  $p(a,b) \approx \chi_a \chi_b$  and  $\chi_a$  is the molar fraction of block type a and  $N_{total}^{ref} = \sum_{a,b} N_{total}^{ref}(a, b, r)$ . The cutoff distance of  $r_{\rm cut}$  is set to 15 Å, and  $\Delta r$  is 2 Å for  $r$  < 2 Å, 0.5 Å for 2 Å  $\leq$  r  $\leq$  8 Å, and 1 Å for 8 Å  $\leq$  r  $\leq$  15 Å, which is similar to DFIRE [\[41\].](#page-7-0) In modeling the distance interaction between blocks, all blocks are treated as points. The reference state is assumed to have a uniform distribution in order to use quasi-chemical approximation [\[24\].](#page-7-0)

#### The OPUS-DOSP potential

In OPUS-DOSP, the contributions of orientation and distance terms vary in different distance range. When the distance between two contacting blocks is either short (less than  $r_1$ =3.7 Å) or long (larger than  $r<sub>2</sub>=10$  Å), we only use the orientation term. This is because in the short distance case, the packing between blocks is more sensitive to the relative orientation between blocks, while in the long distance case, the effect of distance dependence maximizes. On the other hand, when the distance falls between  $r_1$ and  $r<sub>2</sub>$ , we only use the distance term.

Presumably, there could be a possibility that orientation and distance terms act collectively in different distant ranges. In this work, we only use one energy term in each distance range to avoid the need for optimizing the weight function.

Another feature in OPUS-DOSP is that we excluded all of the contact pairs connected by chemical bonds, which happen only in intra-residue pairs.

#### The auxiliary function in OPUS-DOSP

Almost all empirical potentials are established based on Boltzmann formula:

$$
E_{\text{Boltz}} = -k_{\text{B}} T \log \frac{p^{\text{obs}}}{p^{\text{ref}}},\tag{4}
$$

where  $k_B T$  is the Boltzmann constant and temperature,  $p^{\text{obs}}$  is the probability of specific contact pattern in the observed state, and  $p^{\text{ref}}$  is the probability of specific contact pattern in the reference state. In this study, we found that this relation may underestimate the influence of extreme cases. This is because the non-redundant structure database we used to construct, the potential is of a limited size, which makes the population of packing patterns in that database deviate from Boltzmann distribution due to limited sampling. The consequence for the limited sampling of packing pattern is that the extreme cases, where the ratio of  $p^{obs}/p^{ref}$  is very large (extremely favorable cases) or very small (extremely unfavorable cases), can produce more reliable results, and we want to raise the weight of these cases in energy construction. Therefore, we add an auxiliary function to the original Boltzmann relationship to increase the weight of extreme cases. This auxiliary function, illustrated in [Fig. 2](#page-3-0), is

$$
f(x) = \begin{cases} -x \text{ if } x > 1\\ 0 \text{ if } x = 0\\ \frac{1}{x} \text{ if } x < 1 \end{cases}
$$
, where  $x = \frac{p^{\text{obs}}}{p^{\text{ref}}}$ . (5)

In the case of  $x>1$ , the linear auxiliary function decays faster than the logarithmic function, making the energy more favorable for larger  $x$ ; while in the case of  $x<1$ , the anti-proportional function increases faster than the logarithmic function, making the energy less favorable for smaller x. We further illustrate the action of the auxiliary function in Supplemental Materials.

Schematic diagrams of  $-log(x)$  and  $f(x)$ 

<span id="page-3-0"></span>

Fig. 2. Schematic diagrams of  $-\log(x)$  and  $f(x)$ . When x is not close to 1, the difference between  $-\log(x)$  and  $f(x)$  is obvious. In the case of  $x>1$ , the linear auxiliary function decays faster than the logarithmic function, making the energy more favorable for larger x. In the case of  $x<1$ , the anti-proportional function increases faster than the logarithmic function, making the energy less favorable for smaller x.

In the implementation of DOSP, the distance term and orientation term are given as

$$
E_{dist}(a, b, r) = E_{Boltz\_dist}(a, b, r) + f\left(\frac{\rho^{obs}(a, b, r)}{\rho^{ref}(a, b, r)}\right)
$$
 and  

$$
E_{ori}(a, b, \Omega_{ab}) = E_{Boltz\_ori}(a, b, \Omega_{ab}) + f\left(\frac{\rho^{obs}(a, b, \Omega_{ab})}{\rho^{ref}(a, b, \Omega_{ab})}\right).
$$

In practice, we set up energy cutoffs to avoid individual extreme values. If the ratio between  $p^{\text{obs}}$ and  $p^{\text{ref}}$  is smaller than 1/s or larger than s, we set the ratio to  $1/s$  or  $s$ , respectively. In this study, the optimal value of s is set to be 55. This result can be derived from the logarithm result, and the detailed process is shown in Supplemental Materials.

We also tested a continuous version of the auxiliary function, which, however, did not significantly improve the results (see Supplemental Materials).

## Training set and tested decoy sets

We used the same 1011 proteins as in GOAP potential [\[39\]](#page-7-0) to train our potential. OPUS-DOSP was then tested on the same 11 decoy sets as used by GOAP, including 4state\_reduced [\[50\],](#page-7-0) Fisa [\[51\],](#page-7-0) fisa\_casp3 [\[51\]](#page-7-0), hg\_structal, ig\_structal and ig\_structal\_hires (R. Samudrala, E. Huang, and M. Levitt, unpublished), I-TASSER [\[42\]](#page-7-0), lattice ssfit [\[52,53\]](#page-7-0), Lmds [\[54\]](#page-7-0), MOULDER [\[55\]](#page-7-0), and ROSETTA [\[56\]](#page-7-0).

## **Results**

#### Decoy structure recognition

We compared the performance of OPUS-DOSP with other potentials on the same 11 decoy sets also used for testing the GOAP potential [\[39\].](#page-7-0) The results of OPUS-PSP potential, GOAP potential, and OPUS-DOSP potential are presented in [Table 1.](#page-4-0) Of the total of 278 targets in these decoy sets, OPUS-PSP and GOAP were able to recognize 189 and 226 native structures from their decoy structures, respectively. Strikingly, OPUS-DOSP successfully recognized 239 and 249 native structures from their decoys, respectively, without the auxiliary function (DOSP Boltzmann) or with the auxiliary function (DOSP) ([Table 1](#page-4-0)). Comparing to OPUS-PSP and GOAP, DOSP Boltzmann significantly outperformed in six decoy sets (Fisa, hg\_structal, ig\_structal, ig\_structal\_ hires, I-TASSER, and Lmds). Both forms of DOSP



<span id="page-4-0"></span>

The numbers of targets, with their native states successfully recognized by various potentials, are listed. The numbers in parentheses are the average Z-scores of the native structures. The results suggest that OPUS-DOSP significantly outperforms OPUS-PSP and GOAP potentials in decoy set recognition in terms of both the overall number of native structures recognized and Z-scores. Meanwhile, OPUS-DOSP with auxiliary function (column: DOSP) outperforms the case with Boltzmann term alone (column: DOSP (Boltzmann)).

potential have a better performance on most decoy sets, especially in three homology modeling sets (hg structal, ig structal, and ig structal hires). The addition of the auxiliary function most significantly improved the overall performance of DOSP in ROSETTA by recognizing 17 more native structures!

## Distance-dependent and orientation-dependent contributions

In our OPUS-DOSP potential, we first tested whether the inclusion of both the distance-dependent and orientation-dependent contributions in a contactrange-dependent fashion would improve the performance. The results for OPUS-DOSP performance using orientation contribution alone, distance contribution alone, and contact-range-dependent combination of the two are shown in Table 2. Cases with and without the auxiliary function in energy construction

are both shown. Clearly, contact-range-dependent combination has far better performance than orientation or distance alone with (DOSP) and without auxiliary function (DOSP Boltzmann). Furthermore, the addition of the auxiliary function in DOSP resulted in consistent better performance than without (DOSP Boltzmann). Thus, the rightmost column in Table 2, the case with contact-range-dependent combination and auxiliary function in energy function, has the very best performance (249 in decoy recognition and −5.01 in Z-score).

To test the effect of the two cutoff distances  $r_1$ and  $r<sub>2</sub>$ , we examined the performance in the cases that each of the cutoff distance was set to zero, original value used in OPUS-DOSP  $(r_1 = 3.7 \text{ Å}$  and  $r_2$ = 10 Å), or infinite. The results are shown in [Fig. 3.](#page-5-0) It is clear that the values of  $r_1 = 3.7$  Å and  $r_2 = 10$  Å as used in OPUS-DOSP produced the best results than all other combinations.





The numbers of targets, with their native states successfully recognized by various forms of DOSP, are listed. The numbers in parentheses are the average Z-scores of the native structures. In both cases (DOSP Boltzmann and DOSP), the consideration of orientation and distance contributions based on contact distance range (the third column in each case) results in a better performance than the cases in which orientation or distance contributions are considered alone.

<span id="page-5-0"></span>



Fig. 3. The performance of OPUS-DOSP with different cut-off values on 11 decoy sets. (a) Explanation of the tested scenarios. (b) The total number of native structures successfully recognized in the 11 decoy sets. (c) The mean Z-scores in the 11 decoy sets.

## **Discussion**

In OPUS-PSP potential [\[40\]](#page-7-0), only the orientation of 19 rigid-body blocks are considered, while in OPUS-DOSP, we have considered both the orientation- and distance-dependent contributions. The two types of energy contributions are applied separately in different contact distance range. Since some blocks make more extensive physical contacts than the others depending on the packing pattern, for simplicity, we used the distance between the origins of two contacting blocks as a parameter to distinguish the packing pattern. We assume that when two contacting blocks are in close distance (distance  $<$ 3.7 Å), the orientation contribution dominates. This is because, in close distance, the two blocks are likely to have more extensive physical contacts and the main freedoms to change between the two blocks are those of relative orientation rather than distance between them. Thus, we only consider the orientation contribution in this shortest distance range.

When the distance between two blocks is in the intermediate range (3.7 Å  $\le$  distance  $\le$  10 Å), the physical contact between two blocks has larger variation. In this case, distance term seems to be more sensitive than the orientation term. We therefore only consider the distance term energy function.

When the distance between two blocks is large (distance  $>$  10 Å), the two blocks need to be positioned in a specific angle in order to have one or a few atomic contacts; therefore, the orientation term is again sensitive. We thus only use orientation contribution in this case.

Although we only consider one energy term in a particular contact distance range, it is possible that both types of energy contributions act collectively. In this work, we did not combine the two together in the same contact distance range so as to avoid the complication of optimizing the relative weight between them. These two contributions are not exactly orthogonal to each other, and future work will be focused on optimal combination of them.

In OPUS-DOSP, we added an auxiliary function to the Boltzmann formula in the energy construction. The conventional Boltzmann formula may underestimate the impact of extreme cases due to the limited size of non-redundant structural data set used for constructing the potential. No explicit weighting parameter is included between the auxiliary function and Boltzmann function. We did not observe significant improvement of performance by adjusting the weight of the two during our investigation.

OPUS-DOSP potential is termed as an all-atom potential because it requires input of all atom coordinates. However, its construction is also coarsegrained in nature as the orientation dependence is <span id="page-6-0"></span>described by the 19 blocks, rather than individual atoms.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.jmb.2017.08.013>.

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#### Keywords:

protein structure prediction; empirical potential function; protein folding; decoy recognition: side-chain packing

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#### Abbreviations used:

PSP, potential based on side-chain packing; DOSP, distance- and orientation-dependent potential derived from side-chain packing; DFIRE, distance-scaled, finite ideal-gas reference state; RW, random walk; GOAP, generalized orientation- and distance-dependent all-atom potential.

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